

ADOPTION OF INTERNAL CONTROLS
FOR NON-PROFIT GAMING OPERATOR LICENSEE

Under the New Mexico Gaming Control Act and the regulations of the New Mexico Gaming Control Board (“Board”), the management of a non-profit gaming operator licensee shall be responsible for establishing and maintaining a system of internal controls for gaming operations. The non-profit gaming operator licensee may adopt the minimum internal controls established by the Board, or may adopt its own internal controls, which must meet or exceed the Board’s minimum internal controls and must be submitted to the Board for approval prior to implementation.

This certifies that _____ (non-profit gaming operator licensee), License Number _____ has:

_____ adopted the minimum internal controls of the Board.

_____ adopted its own internal controls that meet or exceed the minimum internal controls established by the Board. (Submit a copy of the internal controls for approval by the Board. You may not begin using these internal controls until the Board has approved them in writing.)

This further certifies that _____ (non-profit gaming operator licensee) understands that it, and all of its gaming employees shall be responsible for complying with the adopted internal controls at all times and shall be subject to an administrative fine or suspension or revocation of your gaming operator license for failure to comply with the adopted internal controls.

Highest Ranking Officer of Non-profit

Date

Gaming Manager of Non-profit

Date